

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.201

In Re Application Of: Takayoshi Sawayama

Serial No.  
09/754,277

Filing Date  
1/5/2001

Examiner  
Luz L. Alejandro Mulero

Group Art Unit  
1763

Title: PLASMA ETCHING APPARATUS

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

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**PLASMA ETCHING APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0238** as described below.
- ☒ Charge the amount of **\$180.00**
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*Michelle Welgoss*  
Signature of Person Mailing Correspondence

Michelle Welgoss

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

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*William S. Francos*  
Signature

Dated: **March 30, 2004**

**William S. Francos (Reg. No. 38,456)**

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**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

Appl. No.: 09/754,277

Applicant(s): Takayoshi Sawayama

Filed: January 5, 2001

TC/A.U.: 1763/1700

Examiner: Luz L. Alejandro Mulero

Atty. Docket: OKI.201

Title: PLASMA ETCHING APPARATUS

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On: March 30, 2004

By: Michelle Welgoss  
Michelle Welgoss

**SUBMISSION OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find herewith 4 additional references to be considered by the Examiner in the above captioned application, and a copy of the Examiner's Comments issued by the Japanese Patent Office.

In the event that there are any outstanding matters remaining in the present application, the Examiner is invited to contact William S. Francos, Esq. (Reg. No. 38,456) at (610) 375-3513 to discuss these matters.

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies to charge payment or credit any overpayment to Deposit Account Number 50-0238 for any additional fees under 37 C.F.R. §1.16 or under 37 C.F.R. §1.17.

Respectfully submitted on behalf of:

Oki Electric Industry Co., Ltd.



William S. Francos, Esq.

Reg. No. 38,456

Date: March 30, 2004

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APR 01 2004

Docket Number (Optional)

OKI.201

Application Number

09/754,277

Applicant(s)

Takayoshi Sawayama

Filing Date

1/5/2001

Group Art Unit

1763

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		11-297672	29.10.1999	JP (with English Abstract)				
		07-078769	20.03.1995	JP (with English Abstract)				
		10-270426	09.10.1998	JP (with English Abstract)				
		10-112400	28.04.1998	JP (with English Abstract)				
		2000-225686	06.11.2003	Exam. Comm. from JP O.A.				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.